MAR 1 2 2004

PTO/SB/82 (09-03)

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Application Number	09/973,889
Filing Date	Oct. 9, 2001
First Named Inventor	Schein
Art Unit	2171
Examiner Name	Not Assigned
Attorney Docket Number	P5572 NP

I hereby revoke all previous powers of attorney given in the above-identified application.							
A Power of Attorney is submitted herewith.							MAR 1 5 2004
OR						Tech	nnology Center 21
I hereby appoint the practitioners associated with the Customer Number:						4,726	
✓ Please change the correspondence address for the above-identified application to:							
The address associated with Customer Number:							
OR							
Firm o	or Iual Name	Paul D. Sorkin					
Address		Sun Microsystems, Inc.					
Address 1 Network Drive, MS UBUR02-310							
City		Burlington	State	MA		Zip	01803
Country US							
Telephone		781-442-8626	Fax	781-442-1599			
I am the:  Applicant/Inventor.  Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)							
SIGNATURE of Applicant or Assignee of Record							
Name Paul D. Sorkin, Reg. #39,039							
Signature Soules							
Date	Maul	2,2004	Telepho	ne <sub>781</sub>	-442-862	6	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.							
*Total	offorms	are submitted.				-	

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/96 (08-03)

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MAR 1 2 2004

## STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Schein, Phillip Mark Application No./Patent No.: 09/973,889 Filed/Issue Date: Oct. 9, 2001 Entitled: "METHOD, SYSTEM, AND PROGRAM FOR MANAGING INFORMATION FOR AN APPLICATION PROGRAM USING A FILE MANAGEMENT SYSTEM" \_, a <u>Corporation</u> Sun Microsystems, Inc. (Type of Assignee, e.g., corporation, partnership, university, government agency (Name of Assignee) states that it is: 1. The assignee of the entire right, title, and interest; or MAR 1 5 2004 2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is \_\_\_\_\_ Technology Center 2 00 in the patent application/patent identified above by virtue of either: A. MAn assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012256, Frame 0174, or for which a copy thereof is attached. OR B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: - To: -The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 2. From: To: The document was recorded in the United States Patent and Trademark Office at \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 3. From: To: The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. [ ] Additional documents in the chain of title are listed on a supplemental sheet. [ ] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Paul D. Sorkin, Reg. #39,039 Date ped or printed name 781-442-8626 Telephone number Signature Assistant General Counsel Title

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Docket: P5572 0046.0001

## **ASSIGNMENT**

Whereas, we

INVENTOR AND CITY (1) Phillip Mark Schein

County of

of Broomfield and State of Colorado

have invented certain improvement in

TITLE

METHOD, SYSTEM, AND PROGRAM FOR MANAGING INFORMATION FOR AN APPLICATION PROGRAM USING A FILE MANAGEMENT SYSTEM

DATES THAT INVENTORS SIGNED THE DECLARATION and executed, respectively, a United States patent application therefore on

(1) /2// ,2001

Whereas, SUN MICROSYSTEMS, INC., a corporation of Delaware, having a place of business at 901 San Antonio Road, Palo Alto, California, 94303, (hereinafter called SUN), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to SUN, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to SUN, its successors and assigns; and I hereby agree that SUN may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by SUN.

Signed and sealed

CITY DATE (1) at <u>Broom field</u> on , 2001,

Phillip Mark Schein

SIGNATURE INVENTOR







DEC 3 1 2001

DECEMBER 26, 2001

PTAS

KONRAD RAYNES & VICTOR LLP DAVID W. VICTOR, ESQ. 315 S. BEVERLY DRIVE, SUITE 210 BEVERLY HILLS, CA 90212 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



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RECORDATION DATE: 10/09/2001

REEL/FRAME: 012256/0174

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SCHEIN, PHILLIP MARK

DOC DATE: 10/01/2001 RECEIVED

ASSIGNEE:

SUN MICROSYSTEMS, INC. 901 SAN ANTONIO ROAD PALO ALTO, CALIFORNIA 94303 MAR 1 5 2004

**Technology Center 2100** 

SERIAL NUMBER: 09973889

PATENT NUMBER:

FILING DATE: 10/09/2001

ISSUE DATE:

ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

10-22-2001



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office



101880852

To the Honorable Commissioner of Paterns and Hauemains.	riease record the attached original de	ocuments or copy thereof.			
1. Name of conveying party(ies):  Phillip Mark Schein  Additional name(s) of conveying party(ies) attached: Yes No  3. Nature of conveyance:  Assignment	2. Name and address of receiving Sun Microsystems, Inc. 901 San Antonio Road, Palo Alt Additional name(s) & address(es) a	to, California, 94303 05/973889 10/60/01			
Execution Date October 1, 2001		<u>O.</u>			
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the exec	ution date of the application is: Oc	PECEIVED			
A. Patent Application No.(s):	B. Patent No.(s):	MAR 1 5 2004			
Additional numbers at	tached? □ Yes ⊠ No	Technology Center 2100			
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications ar	nd patents involved: [ 1 ]			
DAVID W. VICTOR, ESQ. KONRAD RAYNES & VICTOR LLP 315 S. Beverly Drive, Suite 210 Beverly Hills, CA 90212	7. Total fee (37 CFR 3.41)	\$ 40			
715/2001 TDIAZ1 00000133 09973889	□ Authorized to be charged to deposit account				
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SHARK NECES		09/973,889
TRANSMITTAL	Filing Date	Oct. 9, 2001
FORM	First Named Inventor	Schein RECEIVED
(to be used for all correspondence after initial filing)	Art Unit	2171
	Examiner Name	Not Assigned MAR 1 5 2004
Total Number of Pages in This Submission	Attorney Docket Number	P5572 NP Technology Center 2108
ENC	LOSURES (Check all that	apply)
Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addre  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  Statement Under 37 CFR 3.73(b); Notice of Recordation; Assignment
Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	DE ADDI IOANT ATTODIS	
	OF APPLICANT, ATTORNE	Y, OR AGENT
Firm Paul D. Sorkin, Reg. #39,039 Individual name		
Signature Sorler	^	
Date March 2, 2004	1	
CERTIFIC	CATE OF TRANSMISSION	MAILING
I hereby certify that this correspondence is being facsi sufficient postage as first class mail in an envelope ad the date shown below.		
Typed or printed name Peggy Smiley		
Signature Peggy Smile		Date March 9, 2004)

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